# INFORMATION ON THE FORMAT FOR THE SUBMISSION OF DATA FOR THE UPOV-ROM PLANT VARIETY DATABASE INCLUDING ITS CONTROL PROGRAM

This document contains the UPOV format for the transmission in electronic form of bibliographic data regarding plant varieties (<u>Annex I</u>) and its control program (<u>Annex II</u>).

Any data submitted for inclusion in the UPOV-ROM Database must be sent to UPOV in the format described in this document. It has been established to ensure that all data from different members of the Union can be included in one single database.

The software developed by the French firm JOUVE is adapted to that format and searches in the database will only run smoothly if all contributors sending data comply with the format. In order to check whether the format has been respected after the extraction of data from the national database, JOUVE has developed a Control Program which will enable most errors to be detected and will enable experts to eliminate errors before submitting the data to us. All contributors are requested to apply that program to their data before submitting it to the Office of UPOV.

After having sent data for the first time, offices are invited to study in particular their own data on the UPOV-ROM and check whether the corrections made by UPOV have modified the substance of the data in any way. Likewise, the data given for the country/organization in the detailed information and in the summary table of the content.pdf file should be checked. All these data will also be copied for the following production discs and any corrections and necessary updating is therefore appreciated. Unless there are numerous changes requiring the sending of a completely reworded text, changes marked in handwriting in the existing text are appreciated to facilitate changing the "content" file only where necessary.

The data should be sent to the Office of UPOV in <u>one single document</u>, preferably on a floppy disc or as an attachment to an e-mail, but any other mutually agreed carrier could do as well. For large amounts of data, standard compression programs (ARJ, PKZIP, etc.) can be used. The use of a program that creates a self-extracting file would be preferred. In a readme file on the disc and in a paper printout of the readme file added to your mailing the following should appear:

(a) the validity of the data submitted (e.g. date when extracted from the database);

(b) whether other information on the "content" file has changed for your country/organization. (For the <u>first submission</u>, the address(es) of the source of information and of the person to contact for questions and explanations on the data should be provided. Best examples for those explanations are the explanations given by other contributors and already on the UPOV-ROM disc in the <u>content.pdf</u> file.)

(c) the word processing system used for the readme file.

Any unresolved questions should be addressed to the Office of UPOV. For countries or organizations supplying data for the first time, supply on a trial basis with a limited amount of data in the new format is welcomed. The data would then be checked by us to ascertain whether the format has been understood before requesting the full data set.

# UPOV-ROM PLANT VARIETY DATABASE

# FORMAT FOR THE TRANSMISSION IN ELECTRONIC FORM OF BIBLIOGRAPHIC DATA REGARDING PLANT VARIETIES

# TABLE OF CONTENTS

INTRODUCTION
DEFINITIONS
IDENTIFICATION OF ITEMS OF INFORMATION
STRUCTURE OF INDIVIDUAL RECORDS
LIST OF MINIMUM INFORMATION FOR THE BACK FILE AND OF MANDATORY ITEMS FOR THE FRONT FILE
FORMAT DESCRIPTION AND RULES
OPERATIONAL DETAILS
DETAILS ON CERTAIN ITEMS
HANDLING OF MISSING INFORMATION
INFORMATION FOR THE UNDERSTANDING OF THE UPOV-ROM
PROPOSED CODES FOR IDENTIFYING INFORMATION ITEMS
EXPLANATORY NOTES
LIST OF INDEXES WHICH PERMIT SEARCHING WITH BOOLEAN OPERATORS
HYPOTHETICALLY WORKED EXAMPLE OF THE STANDARD FORMAT (PLUS COMMENTS)
STATES AND ORGANIZATIONS STANDARD CODES

### UPOV-ROM PLANT VARIETY DATABASE

# FORMAT FOR THE TRANSMISSION IN ELECTRONIC FORM OF BIBLIOGRAPHIC DATA REGARDING PLANT VARIETIES

## INTRODUCTION

1. This document describes the format for electronic transmission of plant variety information.

2. The main aim is to provide a simple and flexible format to cope with the varied circumstances of different national offices or organizations for transmitting information to a central place for the purposes of collecting the information and publishing it in the form of a CD-ROM (Compact Disc Read Only Memory) and for similar purposes.

## DEFINITIONS

3. For the purposes of this document, the following definitions apply:

(a) Object: One variety in terms of PBR, PLP, PAT, NLI, BIL or other lists of a country or international organization (ZZZ). (For the <u>explanations on the abbreviations</u>, see page 12.)

(b) Item of information: A piece of information concerning an object.

(c) Identifier of the object: A code or string of characters which uniquely identify the object. Each country or organization should assign a unique identifier to each variety within the country or organization. If it is unable to do so for the time being, it should inform the Office of UPOV.

(d) Description of an object: A description containing the up-to-date value of each available individual item of information.

(e) Transmission of information: A transfer of a file, from a country or organization, containing validated information on the description of the objects at the date specified by the country or organization.

(f) File: A collection of records placed on a machine-readable carrier.

(g) Record: A unit in a file describing an object. It contains the identifier, the description and the status of the object.

(h) Record status: A statement whether the record is new, whether one or more items of information have changed since the last transmission of data, or whether the record is unchanged. The status unknown should be avoided as far as possible.

# IDENTIFICATION OF ITEMS OF INFORMATION

4. For ease of processing files and selecting information, each item of information must be preceded by a code which identifies what the item represents. The code is a three digit number and is preceded by the character < and followed by the character >. That code is called a TAG. Page 11 sets forth the <u>codes to be used</u>.

5. An example of how an item of information should appear in a record with its identification code is as follows:

<500>GERBERA CASS <220>19930716

Here the Latin name has the identification code 500. Information is also provided on the date of application (code 220).

# STRUCTURE OF INDIVIDUAL RECORDS

6. Individual records should be structured as follows:

<000>	start of record and record status
<190>	country/organization providing information
<010>	type of record and (variety) identifier
<nnn> <nnn></nnn></nnn>	item of information item of information
<920>	items of information which have changed since last transmission (optional).

There should be neither spaces before the items, between the TAG and the information, nor between the records describing two varieties.

7. Where an item of information can occur more than once, e.g. multiple "maintainers," the item should be repeated for each occurrence, preceded in each case by the identical identification code:

<732>CEBECO <732>ZPC

8. A record must begin with <000> followed by the identification fields <190> and <010> in sequence. Subsequent items can be presented in any order. Nevertheless, because of a particularity in the JOUVE Control Program, the very last item of information (at the end of the file) should NOT be the date of application (<220>).

9. If an item has several subfields these should be separated from each other by a blank, e.g.:

```
<540>19951129 Superstar
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10. Items <000>, <190> and <010> constitute the key which enables an object to be identified and must thus always be included.

11. Item  $\langle 920 \rangle$  is optional.

12. The order in which the items are given is without significance. A <u>hypothetically worked</u> <u>example</u> plus comments on the format in which data should be submitted is reproduced on page 14.

# LIST OF MINIMUM INFORMATION FOR THE BACK FILE AND OF MANDATORY ITEMS FOR THE FRONT FILE

13. The following items are mandatory for the <u>front file</u> (varieties entered in the national database <u>after</u> January 1, 1996). Lack of one item would result in the rejection of the whole record in the front file, it would be tolerated only in the <u>back file</u> (varieties entered in the national database <u>before</u> January 1, 1996). (This rule has not been applied for the present as too many varieties would have been deleted. It will be applied as soon as a majority of contributors have improved their data.)

## (a) <u>For all varieties</u>

	<000>	Start of record and record status
	<190>	Country or organization providing information
	<010>	Type of record and (variety) identifier
	<511>	SpeciesUPOV plant variety identification code (as soon as established)
	<500>	SpeciesLatin name
	<731>	Breeder's name
Either	<509>	SpeciesCommon name in English
or	<510>	Speciescommon name (or both)
Either	<540>	Date + denomination, proposed, first appearance or first entry in database
or	<600>	Breeder's reference (or both)

List (a) also applies to information of ZZZ type of record (non-protected, non-listed varieties from breeder's catalogues, publications, etc.).

### (b) For varieties where protection/listing is requested

All of (a) <u>plus</u>:

<210>	Application number
<220>	Application/filing date
<730>	Applicant's name

List (b) applies only to information of PBR, PLP, PAT and NLI types of record. (For <u>explanations of the abbreviations</u>, see page 12.)

## (c) For varieties which are protected/listed

All of (a) and (b) <u>plus</u>:

	<542>	Date + denomination, approved
	<111>	Grant number/registration number
	<610>	Start dategrant/registration
Either or	<665> <666>	Calculated future expiration date Type of date followed by end date ( <u>never both</u> )
Either or	<732> <733>	Maintainer's name (for listed varieties) Title holder's name (for protected varieties)

List (c) only applies when protection has been granted or the variety has been listed.

## (d) Additional Information for Non-Protected, Non-Listed Varieties

The minimum information for non-protected, non-listed varieties (type of record ZZZ) are all items listed above under (a). If there is a need for more information to be given, that additional information (e.g. names of breeder's catalogues, title and publisher of books or other sources) should be placed under <900>Other Relevant Information (phrase indexed) or <910>Remarks (word indexed).

# FORMAT DESCRIPTION AND RULES

14. The following rules are applicable:

(a) Character set: the ASCII representation, as defined in ISO Standard 646, should be used; the submission of data should be in <u>ASCII only</u>. Special characters, symbols or accents ( $\tilde{,}, \tilde{,}, \tilde{,}, !, \vartheta$ , etc.) cannot be used. <u>Only characters of the English alphabet may be used</u>.

(b) Item value format: the item value can be a date, a number or an alphanumeric string of characters.

- Dates must be given in the form YYYYMMDD (YearMonthDay), e.g. 19930903 (ISO Standard 8601). Only <u>valid</u> and <u>complete</u> dates may be given. The date field should be left blank if it is part of the information or not included at all if it is the only information in the item.
- Within a given country, a harmonized number of digits should be chosen for the indication of numbers of a given item and numbers should be given right-justified with zero filling on the left. (See the <u>Hypothetically Worked Example</u> on page 14.)
- An alphanumeric string should always be recorded as left-justified, with trailing blanks being ignored. (See the <u>Hypothetically Worked Example</u> on page 14.)
- The <u>maximum size of an item of information</u> should be as defined on page 11.

(c) Each item of information should start on a new line. Free text should be presented on one single line (without a line or paragraph break).

# OPERATIONAL DETAILS

15. File names should be identified on the carrier by at least the name code of the country, the date of origin or delivery and the file sequence number, as follows: CCYYMMDD.NNN where

(a) CC is the code for the country/organization supplying the information (in ISO Standard 3166, see attached <u>list of countries with their codes</u>), followed by;

(b) YYMMDD identifies the year, month and day number to which the information on the file refers, followed by;

- (c) a full stop, followed by
- (d) NNN being the filename extension.

16. It is imperative that if one item of information in an object changes, then <u>all</u> items of information for that object are to be delivered again by the supplying country/organization on the occasion of the next delivery of plant variety information. Until further notice, <u>the complete national file must be submitted each time</u>.

17. All participating offices should provide together with their first submission of plant variety data any necessary information—for instance, regarding the filing/registration numbers used by them for both plant variety rights and national listing, etc.—which are needed for the correct interpretation and understanding of their data or explanations on any mandatory items they are not yet submitting or on data they are unable to submit because of special situations in their country (e.g. no national list exists, thus no NLI data can be submitted). Each country should prepare a draft of those explanations considered important by itself. The text would be included in the detailed information by country in the content.pdf file available under directory UPOVPDF of the UPOV-ROM drive.

# DETAILS ON CERTAIN ITEMS

18. Further details are given below for certain items:

### <000> Start of record and record status

The following codes for the record status are foreseen:

- 1 = new record
- 2 = modified record
- 3 =unchanged record
- 0 = unknown (to be avoided).

## <010> <u>Type of record and variety identifier</u>

The following rules for the harmonization of the presentation of the variety identifier have to be followed:

- no use of comma
- open ended field
- harmonized number of numeric positions (5 or 6) within one single country with zero filling on the left, e.g. presentation 000123
- a blank should be inserted between the type of record and the variety identifier
- the following types are foreseen: PBR: Plant Breeders' Rights
  - PLP: Plant Patents
  - PAT: Patents for Inventions
  - NLI: National Lists
  - BIL: Bilateral Agreements for Testing
  - ZZZ: Other (to be explained in the content file).

### <540> <u>Date + denomination</u>, proposed, first appearance or first entry in database

This item should mention, in case of rejection of a first proposal for a denomination, not only the date of the first appearance of the first proposal but also in every case the date of first appearance of the second proposal. (See the <u>Hypothetically Worked Example</u> on page 14.)

- <540> <u>Date + denomination, proposed, first appearance or first entry in database</u>
- <541> <u>Date + proposed denomination, published</u>
- <542> <u>Date + denomination, approved</u>
- <543> <u>Date + denomination, rejected or withdrawn</u>
- <600> <u>Breeder's reference</u>
- <731> <u>Breeder's name</u>

Varieties which are protected <u>and</u> included in an official catalogue (listed) should have only one single identifier, but two complete sets of information, e.g. one as PBR 12345 and the other as NLI 12345. The information items <540>, <541>, <542>, <543>, <600>, <731> should, however, contain the same information (same dates, same denomination in NLI and PBR).

The date of approval of a denomination has to be <u>one single date</u>; the same denomination cannot be approved twice in one single country at different times. Thus always the first date has also to be given in the second case.

# <620> <u>Start date—renewal of registration</u>

This item should not be used for the annual renewal of a protected variety. It should be used only for renewal of national listing (or in the few cases of members of the Union foreseeing that protection can be extended after the first period has elapsed).

#### <600> <u>Breeder's reference</u>

This item is very important in order to link with information on a variety which is also protected in another member of the Union, but under a different denomination. If available, it should always be included in the record; if not available, at least item  $\langle 540 \rangle$  Date + denomination, proposed, first appearance or first entry in database should be included and an explanation should be given to be included in the content.pdf file. As breeders frequently apply intentionally for different denominations in different members of the Union for the same variety, the breeder's reference is one of the few ways to know whether an application in another country has been made for the same variety.

## <151> <u>Publication date of data regarding the grant/registration</u>

This item should include only information on the date of the grant or the inclusion in list. It should not include dates of publication concerning a termination.

<665>	Calculated future expiration date
<666>	Type of date followed by end date

Item <666> only applies to dates of the past where the protection or listing actually ended. For future end dates, item <665> should be used.

For <666>, the type of date should be separated from the end date by a blank. The following types of date are to be used:

REJ: RejectionEXP: ExpirationDEL: DeletionWDR: WithdrawalTER: TerminationSUR: Surrender.

A termination (TER) or expiration (EXP) means that a right or an inclusion in a list existed before. Thus for terminated varieties information on listing or protection should be given (see mandatory items above). Termination means that the right has been terminated by the plant variety protection office <u>before</u> its foreseeable end. Expiration means that the right has lapsed at the end of the possible maximum period.

Each record with a start date should include **either** <666> with an actual end date **or** <665> with a calculated expiration date according to the maximum number of years of protection foreseen for the species concerned. As that period changes from species to species and from country to country the information is very important. As soon as there is an item <665>, item <666> should be deleted. Both items should never be used for the same variety.

### <730> - <740> <u>Names</u>

Names should be presented in a way that the surname is followed by a comma and the forename, etc., as otherwise phrase indexing would be misleading. Titles (e.g. Dr.) or other

prefixes should not be placed at the beginning of the name. Names should also all be presented in the same way, not some in capitals and others with only the first letter in capitals.

If applicable, addresses should be given in the name field; in this case, they should be delimited by a vertical bar '|' as otherwise word indexing would lead to a too noisy index (would contain even street name). Only the part before the vertical bar would be indexed. The address would thus not be indexed.

# <732> <u>Maintainer's name</u>

Item <732> should be used for all maintenance of a variety covering

(a) maintenance of a protected variety (a protected variety may have several different maintainers) and

(b) maintenance for national listing.

### <733> <u>Title holder's name</u>

Item <733> should only be used in case of protection. The person requesting inclusion in a national list or having obtained inclusion in the list is not a title holder but the maintainer of the variety (<732>). In the case of a rejection, there is an applicant (<730>), but no title holder (<733>).

## <740> <u>Type of other party followed by party's name</u>

A code should always be used for the type of the other party before the name (e.g. AGT DANUBIA PATENT BUREAU). A blank should be added between the type and the name. The following codes of type are foreseen:

- AGT: Agent
- CLH: Compulsory License Holder
- ELH: Exclusive License Holder
- OPN: Other Party's Name.

# <220> <u>Application/filing date</u>

<300> <u>Priority application: country, type of record, date of application, application</u> <u>number</u>

In case of a priority application, the date under  $\langle 220 \rangle$  should <u>not</u> be the priority date in the other country, but the real application date. The priority date should be given under  $\langle 300 \rangle$ . All subfields should be separated by a blank.

# <320> <u>Other countries: Country, denomination if different from denomination in</u> <u>application</u>

Item <320> should also be used for varieties which are tested under bilateral test agreements. All subfields should be separated by a blank.

#### <900> <u>Other Relevant Information</u> <910> Remarks

Those two items are foreseen for any other information considered useful or necessary. Item  $\langle 900 \rangle$  is phrase indexed. Item  $\langle 910 \rangle$  is word indexed.

# HANDLING OF MISSING INFORMATION

19. Missing information should be handled as follows:

(a) <u>Part of an item is missing</u>

If part of an item is missing, e.g. if the date for item  $\langle 542 \rangle$  is missing, the item should be kept and presented as follows:  $\langle 542 \rangle$ ......DENOMINATION (8 spaces to replace the missing date + 1 space before the denomination = 9 spaces). Filling of the missing information should be done by blank spaces. The use of a wrong or fictive date (19000000) will lead to a rejection. Countries which have information available only for a whole year, should give a fixed valid date for that year (e.g. December 31).

(b) <u>All information for an item is missing</u>

If all information for an item is missing, the item should be deleted.

### INFORMATION FOR THE UNDERSTANDING OF THE UPOV-ROM

20. The UPOV-ROM pdf files will include the UPOV Recommendations on Variety Denominations (denomrec.pdf) and the addresses of the offices for plant variety protection of the members of the Union (address.pdf).

21. If a country cannot supply information for certain items for confidentiality reasons, this should be stated in the additional information supplied by each country and reproduced in the <u>content.pdf</u> file, highlighting those items which are not included for confidentiality reasons.

# PROPOSED CODES FOR IDENTIFYING INFORMATION ITEMS

<u>TAG</u>	Description of Item	Repeatable (R)	Format <u>of Item</u>	Notes
<000> <190>	Start of record and record status Country or organization providing information		N1 A2	<u>(1)</u> ISO <u>3166</u>
<010>	Type of record and (variety) identifier		open ended	<u>(2)</u>
<500> <509>	SpeciesLatin name Speciescommon name in English	R	open ended open ended	
<510> <511>	Speciescommon name in national language other than English SpeciesUPOV Taxon Code (not yet available)	R	open ended open ended	<u>(3)</u>
<540>	DENOMINATIONS Date + denomination, proposed, first appearance			<u>(4)</u>
<541>	or first entry in data base Date + proposed denomination, published	R R	open ended open ended	
<542>	Date + denomination, approved		open ended	
<543>	Date + denomination, rejected or withdrawn	R	open ended	
<600>	Breeder's reference	D	open ended	As given by breeder
<601>	Synonym of variety denomination Trade name	R R	open ended	
<602>		К	open ended	
<210> <220>	Application number Application/filing date		open ended N8	<u>ISO 8601</u>
<400>	Publication date of data regarding the application			
	(protection)/filing (listing)		N8	<u>ISO 8601</u>
<111> <151>	Grant number (protection)/registration number (listing) Publication date of data regarding the grant (protection)/		open ended	
	registration (listing)		N8	<u>ISO 8601</u>
<610>	Start dategrant (protection)/registration (listing)		N8	<u>ISO 8601</u>
<620>	Start daterenewal of registration (listing)	R	N8	<u>ISO 8601</u>
<665>	Calculated future expiration date		N8 A3+N8	(5)
<666>	Type of date followed by "End date"		AS+No	<u>(5)</u>
<730>	PARTIES CONCERNED Applicant's name	R	open ended	<u>(6)</u>
<731>	Breeder's name	R	open ended open ended	
<732>	Maintainer's name	R	open ended	
<733>	Title holder's name	R	open ended	
<740>	Type of other party followed by party's name	R	open ended	<u>(7)</u>
	INFORMATION REGARDING EQUIVALENT APPLICATIONS IN OTHER COUNTRIES			
<300>	Priority application: country, type of record, date of application, application number	R	open ended	<u>(6)</u>
<310>	Other applications: country, type of record, date of application, application number	R	open ended	<u>(8)</u>
<320>	Other countries: Country, denomination if different from denomination in application	R	open ended	<u>(8)</u>
<330>	Other countries: Country, breeder's reference if different from breeder's reference in application	R	open ended	<u>(8)</u>
<900> <910>	Other relevant information (phrase indexed) Remarks (word indexed)	R	open ended free text	<u>(9)</u>
<920>	Tags of items of information which have changed since last transmission (optional)	R	N3	<u>(10)</u>
<998>	FIG		A3	<u>(11)</u>
<999>	Image identifier (for future use)		A 12	

#### EXPLANATORY NOTES

#### General:

- (a) TAGS in general follow WIPO Standard ST 60 (INID Codes for Trademarks)
- (b) The data are only to be delivered if available
- (c) N: means numeric field
- A: means alphanumeric field
- (d) If part of the information is absent in a TAG with different information on one line, that fact should be marked with a <u>number of blanks corresponding to the number of missing characters</u>.

#### <u>Notes</u>

(1) Codes for the record status value:

- 1 = new record
- 2 = modified record
- 3 = unchanged record
- 0 = unknown (to be avoided).
- (2) Type of record and (variety) identifier
  - (a) Type of record value: mnemonic three alpha positions
  - PBR: Plant Breeders' Rights
  - PLP: Plant Patents
  - PAT: Patents for Invention
  - NLI: National Lists
  - BIL: Bilateral Agreements for Testing
  - ZZZ: Other (to be defined at a later stage)

(b) (Variety) Identifier: to be given by the originator of data (might be identical to application or filing number). It should be separated from the type of record by a blank.

(3) Code for plant species identification as given by UPOV (not yet available)

(4) Multiple occurrences possible. Date should always appear in first 8 positions and be followed by a blank. If the original denomination is in non-Roman characters, transliteration into Roman characters must be given.

(5) The date should always be preceded by the "type of date" coded as follows:

rejection (REJ)	expiration (EXP)
deletion (DEL)	withdrawal (WDR)
termination (TER)	surrender (SUR).

The type of date should be followed by a blank before the denomination.

- (6) Blanks should be used to separate subfield elements.
- (7) Multiple occurrences possible; the name should always be preceded by the "type of name" as follows

Agent (AGT)	Compulsory License Holder (CLH)
Exclusive License Holder (ELH)	Other Party Name (OPN).

The type of name should be followed by a blank before the name.

- (8) The data should always be coded in the order indicated. Blanks should be used to separate the subfield elements.
- (9) Relevant information might include the following
  - ' marketed since [date] '
  - ' in gene bank since [date] '
  - ' in international register '
  - ' in private catalogue '
  - ' in other publications '
  - ' literature reference. '
- (10) Optional information
- (11) Indication that the original denomination is in other than Roman characters, and that an "image" of the original denomination, e.g. in Cyrillic, Hebrew, Japanese, Chinese, Arabic, is associated with this record.

# LIST OF INDEXES WHICH PERMIT SEARCHING WITH BOOLEAN OPERATORS

Item Code	Description of Item	Index No.	Index rule <sup>1)</sup>
<190>  <010>	<u>Country</u> or <u>organization</u> providing information <u>Type of record</u> and (variety) <u>identifier</u>	(1) (2)	w p
<500>	Latin name	(3)	w, p
<509>  <510>	<u>Common name</u> in English <u>Common name</u> in national language other than English	(4)	W
<511>	<u>UPOV Taxon Code</u> (not yet available)	(5)	р
<540>  <541>  <542>  <543>  <320>  <999>  <601>	Denomination Denomination Denomination Denomination Denomination FIG Synonyms of variety denomination	(6)	w, p
<602>	Trade names	(7)	р
<600>  <330>	Breeder's reference Other countries: Country, breeder's reference	(8)	р
<610>  <620>	Start <u>date</u> grant/registration Start <u>date</u> renewal	(9)	р
<210>	Application number	(10)	р
<220>	Date of application/filing	(11)	р
<665>	Calculated future expiration <u>date</u>	(12)	р
<666>	End <u>date</u> rejection (REJ), deletion (DEL), termination (TER), expiration (EXP), withdrawal (WDR), surrender (SUR)	(13)	р
<730>  <731>  <732>  <733>  <740>	Applicant's <u>name</u> Breeder's <u>name</u> Maintainer's <u>name</u> Title holder's <u>name</u> Any other party's <u>name</u> (Agent etc.)	(14)	w, p
<300>    <310>	Other countries: Priority application ( <u>country</u> , <u>type of record</u> , <u>date of application</u> , application No.) Other countries: Other applications ( <u>country</u> , <u>type of record</u> ,	(15)	р
	date of application, application No.)		
<900>	Other relevant information	(16)	р
<910>	<u>Remarks</u>	(17)	W
<920>	<u>Tags</u> of items of information which have changed since last transmission	(18)	р

#### Notes

<sup>1)</sup> w = word indexed

p = phrase indexed

w, p = separately word and phrase indexed.

#### HYPOTHETICALLY WORKED EXAMPLE OF THE STANDARD FORMAT (PLUS COMMENTS) **IMPORTANT:** Each field should be delimited by CR/LF (carriage return fine field = ¶) <000>1 1 = new record status (other figures: 2 = modified record, 3 = unchanged record, 0 = unknown (0 to be avoided)), mandatory. <190>DE DE = Germany, country providing information, two letter code, code ISO 3166, mandatory. <010>PBR 00456 PBR = Plant Variety Protection, number 456. Each record will start with the mandatory fields <000>, < 190> and <010> (other abbr.: PLP = plant patent, NLI = national list, PAT = patent for invention, BIL = bilateral agreement for testing, ZZZ = other). <500>GERBERA CASS Latin name of species, mandatory, until the UPOV Code is prepared. Common name of species in English, mandatory if no common name in national <509>GERBERA language (<510>) is given. <510>GERBERA Common name of species in national language other than English, mandatory if no English common name (<509>) is given. (<511> omitted, as the UPOV Code is still under preparation; thereafter the item is mandatory). <540>19890430 LIGHT Date of proposal and proposed denomination will show multiple occurrence if the <540>19900104 LIGHTNING first proposal has been replaced by a second one, mandatory if no breeder's reference (<600>) is given. <541>19890625 LIGHT Date of publication of proposal will show multiple occurrence if the first proposal <541>19900110 LIGHTNING has been rejected or withdrawn. <542>19900225 LIGHTNING Date and approved denomination, mandatory if protected or listed. <543>19891113 LIGHT Date and the rejected or withdrawn proposal. <601>BRIGHT LIGHT Synonym of the variety denomination. Trade name. <602>BEAUTY OF X <600>BS359 Breeder's reference, mandatory if existing. <210>GE 00739 Application number, mandatory if application exists. <220>19890215 Date of application, ISO 8601 Standard for dates, mandatory if application exists. <400>19890315 Date of publication of application. Grant number, mandatory if existing. <111>GE 01037 <151>19900301 Date of publication of grant. <610>19900225 Date of grant, mandatory if existing. Calculated future expiration date, mandatory if grant/listing. <665>20100224 (<620> only for renewal of registration in national list). <666>WDR 19940609 Date of withdrawal (WDR = withdrawal) mandatory if existing (other abbr.: REJection, DELetion, TERmination, EXPiration, SURrender). <730>FLORA AG Applicant's name, mandatory if application exists. <731>SMITH GEORGE Breeder's name, mandatory. <732>MUELLER ELISABETH Maintainer's name, mandatory if listed. <733>MUELLER GERDA Name of title holder, mandatory if protected. <740>ELH SCHMIDT HEINZ ELH=Exclusive license holder's name (other abbr.: AGT = agent, CLH = compulsory licence holder, OPN = other party name). <300>GB PBR 19881012 54321 Information regarding other countries, priority application in United Kingdom (GB) for Plant Breeders' Rights (PBR) from October 12, 1988, with the application number 54321. (<310> other applications.) <320>US BRIGHT LIGHT Marketed in US under the name of Bright Light. (<330> other countries.) <910>IN INTERNATIONAL REGISTER Remarks (word indexed). (<900> Other relevant information; phrase indexed.) (<920> Tags of items of information which have changed since last transmission; optional.) (<998> Figurative elements, e.g. Japanese names.) (<999> Image identifier for future use.)

# STATES AND ORGANIZATIONS STANDARD CODES

(please refer to document <u>content.pdf</u> for an exhaustive list of the ISO codes of data contributors only)

AFGHANISTAN AF	
ALBANIA AL	
ALGERIA DZ	<u>,</u>
AMERICAN SAMOA AS	5
ANDORRA AD	)
ANGOLA AO	)
ANGUILLA AI	
ANTIGUA AND BARBUDA AG	;
ARGENTINA AR	ł
ARMENIA AM	1
ARUBA AW	v
AUSTRALIA AU	J
AUSTRIA AT	
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BAHRAINBH	ł
BANGLADESHBD	)
BARBADOSBB	5
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BELGIUM BE	
BELIZEBZ	
BENINBJ	
BERMUDA BM	1
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BOSNIA AND HERZEGOVINA BA	
BOTSWANA BW	٧
BOUVET ISLAND BV	'
BRAZIL BR	ł
BRUNEI DARUSSALAM BN	l
BULGARIABG	6
BURKINA FASO BF	
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CAMBODIAKH	I
CAMEROON CN	1
CANADA CA	•
CAPE VERDE CV	/
CAYMAN ISLANDS KY	,
CENTRAL AFRICAN REPUBLIC CF	
CHAD TD	)
CHILE CL	
CHINA CN	1
COLOMBIA CO	)
COMOROS KM	1

COMMUNITY PLANT VARIETY OFFICE (CPVO) <sup>1</sup>	QZ
CONGO	CG
COOK ISLANDS	СК
COSTA RICA	CR
CÔTE D'IVOIRE	
CROATIA	
CUBA	
CYPRUS	
CZECH REPUBLIC	CZ
DEMOCRATIC PEOPLE'S REPUBLIC OF KOREA	KP
DEMOCRATIC REPUBLIC OF THE CONGO	ZR
DENMARK	DK
DJIBOUTI	DJ
DOMINICA	DM
DOMINICAN REPUBLIC	
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EAST TIMOR <sup>2</sup>	
ECUADOR	
EGYPT	EG
EL SALVADOR	SV
EQUATORIAL GUINEA	GQ
ERITREA	ER
ESTONIA	EE
ETHIOPIA	ΕT
FALKLAND ISLANDS (MALVINAS)	FK
FAROE ISLANDS	
FIJI	
FINI	
FRANCE	FR
GABON	GA
GAMBIA	GΜ
GEORGIA	GE
GERMANY	DE
GHANA	GH
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HUNGARY	
TIONGART	110
ICELAND	19
INDIA	
INDONESIA	
IRAN (ISLAMIC REPUBLIC OF)	
IRAQ	
IRELAND	
ISRAEL	
ITALY	11
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JAMAICA	
JAPAN	
JORDAN	JO
KAZAKSTAN	
KENYA	
KIRIBATI	KI
KOREA (see Democratic People's Republic of Korea; Republic of Korea)	
KUWAIT	кW
KYRGYZSTAN	
LAOS	LA
LATVIA	LV
LEBANON	LB
LESOTHO	
LIBERIA	
LIBYA	
LIECHTENSTEIN	LI
LITHUANIA	
LUXEMBOURG	
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MACAU	МО
MADAGASCAR	
MALAWI	
MALAYSIA	
MALDIVES	
MALI	
MALTA	
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MICRONESIA (Federated States of)	
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AND DEVELOPMENT ( <b>OECD</b> ) <sup>1</sup>	QМ
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PORTUGALP	
PORTUGALP	
PORTUGAL	эΤ
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QATAR       G         REPUBLIC OF KOREA       K         REPUBLIC OF MOLDOVA       M         ROMANIA       R         RUSSIAN FEDERATION       R         RWANDA       R         SAINT HELENA       S         SAINT KITTS AND NEVIS       K	PT QA (R MD RO RU RO RU RW SH (N _C
QATAR    G      REPUBLIC OF KOREA    K      REPUBLIC OF MOLDOVA    M      ROMANIA    R      RUSSIAN FEDERATION    R      RWANDA    R      SAINT HELENA    S      SAINT KITTS AND NEVIS    K	PT QA KR MD RO RU RW SH KN LC WS
QATAR       G         REPUBLIC OF KOREA       K         REPUBLIC OF MOLDOVA       M         ROMANIA       R         RUSSIAN FEDERATION       R         RWANDA       R         SAINT HELENA       S         SAINT KITTS AND NEVIS       K         SAINT LUCIA       L         SAMOA       V         SAINT VINCENT AND THE GRENADINES       V	PT QA KR MD RO RU RO RU RW SH KN LC WS /C
QATAR       G         REPUBLIC OF KOREA       K         REPUBLIC OF MOLDOVA       M         ROMANIA       R         RUSSIAN FEDERATION       R         RWANDA       R         SAINT HELENA       S         SAINT KITTS AND NEVIS       K         SAINT LUCIA       L         SAMOA       V         SAINT VINCENT AND THE GRENADINES       V         SAN MARINO       S	PT QA (R MD RO RU RO RU RO RU RO RU RO RO RO RO RO RO RO RO RO RO RO RO RO
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SOMALIA	SO
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SANDWICH ISLANDS	GS
SPAIN	ES
SRI LANKA	LK
SUDAN	SD
SURINAME	SR
SWAZILAND	SZ
SWEDEN	SE
SWITZERLAND	СН
SYRIA	SY
TAIWAN, PROVINCE OF CHINA	TW
TAJIKISTAN	TJ
THAILAND	TH
THE FORMER YUGOSLAV REPUBLIC OF	
MACEDONIA	MK
TOGO	TG
TONGA	TO
TRINIDAD AND TOBAGO	TT
TUNISIA	TN

TURKEY ...... TR TURKMENISTAN ...... TM

TURKS AND CAICOS ISLANDS	ТС
TUVALU	TV
UGANDA	UG
UKRAINE	UA
UNITED ARAB EMIRATES	AE
UNITED KINGDOM	GB
UNITED REPUBLIC OF TANZANIA	TZ
UNITED STATES OF AMERICA	US
URUGUAY	UY
UZBEKISTAN	UZ
VANUATU	VU
VATICAN CITY STATE (See Holy See)	
VENEZUELA	VE
VIET NAM	VN
VIRGIN ISLANDS (BRITISH)	VG
WESTERN SAHARA <sup>1</sup>	EH
YEMEN	YE
YUGOSLAVIA	YU
ZAMBIA	ZM
ZIMBABWE	ZW

<sup>1</sup> Provisional name.

<sup>&</sup>lt;sup>1</sup> Codes **QM** to **QZ** and **XA** to **XZ** are made available by the International Standardization Organization (ISO) for use in internal applications by organizations or non-State authorities. The use of EU, for example, for European Union could lead to a confusion if a new State were created and given the code EU by the International Standardization Organization. In the UPOV-ROM database, the code QM is for OECD and the code QZ is for CPVO.

# LIST OF CODES, IN ALPHABETIC SEQUENCE, AND THE CORRESPONDING (SHORT) NAMES OF STATES, OTHER ENTITIES AND INTERGOVERNMENTAL ORGANIZATIONS

AD AE AG AI AM AN AN AN AS AT AW AZ	Andorra United Arab Emirates Afghanistan Antigua and Barbuda Anguilla Albania Armenia Netherlands Antilles Angola Argentina American Samoa Austria Australia Aruba Azerbaijan
BA BB BD BE BG BH BJ B BB BB BB BB BB BB BB BB BB BB BB B	Bosnia and Herzegovina Barbados Bangladesh Belgium Burkina Faso Bulgaria Bahrain Burundi Benin Bermuda Brunei Darussalam Bolivia Brazil Bahamas Bhutan Bouvet Island Botswana Belarus Belize
CA CF CG CH CCK CL CM CO CC CC CV CV CZ	Canada Central African Republic Congo Switzerland Côte d'Ivoire Cook Islands Chile Cameroon China Colombia Costa Rica Cuba Cape Verde Cyprus Czech Republic
DE DJ DK DM DO DZ	Germany Djibouti Denmark Dominica Dominican Republic Algeria
EC EE EG EH ER ES ET	Ecuador Estonia Egypt Western Sahara <sup>2</sup> Eritrea Spain Ethiopia
FI	Finland

FJ	Fiji
FK	Falkland Islands (Malvinas)
FM	Micronesia (Federated States of)
FO	Faroe Islands
FR	France
GA GB GE GE GE GE GE GE GE GE GE GE GE GE GE	Gabon United Kingdom Grenada Georgia Ghana Gibraltar Greenland Gambia Guinea Equatorial Guinea Greece South Georgia and the South Sandwich Islands Guatemala Guinea-Bissau Guyana
HK	Hong Kong
HN	Honduras
HR	Croatia
HT	Haiti
HU	Hungary
ID	Indonesia
IE	Ireland
IL	Israel
IN	India
IQ	Iraq
IR	Iran (Islamic Republic of)
IS	Iceland
IT	Italy
JM	Jamaica
JO	Jordan
JP	Japan
KE KG KI KN KP KX KY KZ	Kenya Kyrgyzstan Cambodia Kiribati Comoros Saint Kitts and Nevis Democratic People's Republic of Korea Republic of Korea Kuwait Cayman Islands Kazakstan
LA	Laos
LB	Lebanon
LC	Saint Lucia
LI	Liechtenstein
LK	Sri Lanka
LR	Liberia
LS	Lesotho
LT	Lithuania
LU	Luxembourg
LV	Latvia
LV	Libya
MA	Morocco
MC	Monaco
MD	Republic of Moldova

MG	Madagascar
MH	Marshall Islands
MK	The former Yugoslav Republic of Macedonia
MN	Mali
MN	Myanmar
MO	Mongolia
MP	Macau
MR	Northern Mariana Islands
MS	Mauritania
MT	Montserrat
MU	Malta
MV	Mauritius
MV	Maldives
MW	Malawi
MX	Mexico
MY	Malaysia
MZ	Mozambique
NA	Namibia
NE	Niger
NG	Nigeria
NI	Nicaragua
NL	Netherlands
NO	Norway
NP	Nepal
NR	Nauru
NZ	New Zealand
ОМ	Oman
PA PE PH PK PL PT PW PY	Panama Peru Papua New Guinea Philippines Pakistan Poland Portugal Palau Paraguay
QA QM QZ	Qatar Organisation for Economic Development and Co-operation (OECD) <sup>1</sup> EU Community Plant Varieyt Office (CPVO) <sup>1</sup>
RO	Romania
RU	Russian Federation
RW	Rwanda
SA	Saudi Arabia
SB	Solomon Islands
SC	Seychelles
SD	Sudan
SE	Sweden

SG SH SK SN SN SR ST V SZ	Singapore Saint Helena Slovenia Slovakia Sierra Leone San Marino Senegal Somalia Suriname Sao Tome and Principe El Salvador Syria Swaziland
TC TD TG TH TJ TM TN TO TP TR TT TV TZ	Turks and Caicos Islands Chad Togo Thailand Tajikistan Turkmenistan Tunisia Tonga East Timor <sup>2</sup> Turkey Trinidad and Tobago Tuvalu Taiwan, Province of China United Republic of Tanzania
UA UG US UY UZ	Ukraine Uganda United States of America Uruguay Uzbekistan
VA VC VE VG VN VU	Holy See Saint Vincent and the Grenadines Venezuela Virgin Islands (British) Viet Nam Vanuatu
WS	Samoa
(XA-X	Z) <sup>1</sup>
YE YU	Yemen Yugoslavia
ZA ZM ZR ZW	South Africa Zambia Democratic Republic of the Congo Zimbabwe

<sup>1</sup> Provisional name.

<sup>&</sup>lt;sup>1</sup> Codes **QM** to **QZ** and **XA** to **XZ** are made available by the International Standardization Organization (ISO) for use in internal applications by organizations or non-State authorities. The use of EU, for example, for European Union could lead to a confusion if a new State were created and given the code EU by the International Standardization Organization. In the UPOV-ROM database, the code QM is for OECD and the code QZ is for CPVO.

List of States in Re	spect of Which Codes	Have Changed
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Country	Code used before 1.1.1978	New Code used from 1.1.1978
Albania Algeria Austria	AN AG OE	AL DZ AT
Bahrain Bangladesh Barbados Benin Bhutan Botswana Burkina Faso Burma (see Myanmar)	BB BA DA BH BT UV	BH BD BB BJ BT BW HV/BF <sup>1</sup>
Cambodia Cameroon Central African Republic Chad Chile China Congo	CD KA ZR TS CE CF	KH CMF CF CL CC CG
Democratic Kampuchea (see Cambodia) Democratic People's Republic of Korea Dominican Republic	KN DR	KP DO
Egypt El Salvador Ethiopia	ET SL EA	EG SV ET
Finland	SF	FI
Gambia Germany Guatemala Guinea	GE DT GU GI	GM DE GT GN
Haiti Holy See Honduras	HI CV HO	HT VA HN
Ireland	EI	IE

	Code used before	New Code used from
Country	1.1.1978	1.1.1978
Japan	JA	JP
Kuwait	KU	КW
Liechtenstein	FL	LI
Madagascar Mali Malta Mauritania Mauritius Mongolia Myanmar	MD MJ MT MS MO BU	MG ML MR MU MN MN <sup>2</sup>
Nicaragua Niger Nigeria	NA NI WN	NI NE NG
Oman	MU	OM
Panama Papua New Guinea Paraguay Poland	PM PP PG PO	PA PG PY PL
Republic of Korea Romania	KS RU	KR RO
Sierra Leone Sri Lanka Sweden Syria	WL CL SW SR	SL LK SE SY
Togo Tonga Trinidad and Tobago	TO TI TD	TG TO TT
United Republic of Tanzania	ТА	ΤZ
Zaire Zambia	CB ZB	ZR ZM

1 Code BF adopted in 1984. 2

Code MM adopted in 1989.

#### List of States or Organizations That Existed on January 1, 1978, But That No Longer Exist

Czechoslovakia	CS
Democratic Yemen	SY/YD <sup>1</sup>
German Democratic Republic	DL/DD <sup>2</sup>
International Patent Institute	IB
Soviet Union	SU

[Annex II follows]

<sup>1</sup> Code SY used before 1.1.1978 2

Code DL used before 1.1.1978

#### ANNEX II

# EXPLANATIONS TO FACILITATE THE INSTALLATION OF THE CONTROL PROGRAM FOR DATA FOR THE UPOV-ROM PLANT VARIETY DATABASE

In order to facilitate the installation of the control program for the data for the UPOV-ROM Plant Variety Database produced by JOUVE, the program has in the meantime been slightly amended by adding an installation program. Please follow the steps in the explanations below and also those in the installation program.

To install the control program you have two options:

(a) Use the install.bat program on the a:\jouve-pr directory (the control program will be installed on drive c: under the directory c:\upvcntrl )

Type a:\jouve-pr\install at the DOS prompt.

(b) If you want to install the program yourself, e.g. on drive d:, for example in directory upovcon, use the following procedure:

At command prompt	Type	Result
c:/>	d:	switch to drive d:
d:\>	md\upovcon	create directory upovcon
d:\>	cd\upovcon	change to directory upovcon
d:\upovcon\>	xcopy a:\jouve-pr\*	<ul> <li>* d: /s</li> <li>xcopy the contents of the jouve-pr</li> <li>directory from the floppy disc</li> <li>(including subdirectories)</li> </ul>
d:\upovcon\>	process test txt	run the control program

# EXPLANATIONS FOR THE JOUVE CONTROL PROGRAM FOR THE CHECKING OF ERRORS COMPARED WITH THE FORMAT FOR TRANSMISSION OF DATA FOR THE UPOV-ROM

At the request of the Office of UPOV, the French firm JOUVE, that processes the data and produces the UPOV-ROM, has written a control program (running under DOS; JOUVE (c) 1996 - Test processing on UPOV DATA), which highlights the errors made in order to facilitate their elimination. It is available from the Office of UPOV on a disc.

The present explanations provide some information on how to run the program as contained in the readme file on the above disc:

1. When copying the contents of the floppy disc, do not change subdirectories.

2. Just execute under DOS the process.bat file which is at the root of your working directory for each input files with the following syntax:

PROCESS <name of the file> <extension of the file> [/P] !!! No dot (.) between the filename and its extension !!!

- /P to make a pause between each phase of the program.
- [] indicates that the parameter is optional.

#### 1. <u>Results</u>

There is no output UPOV data files. Reports on process files are located in the SPY subdirectory.

TAG files contain tag errors.

DAT files contain information about data errors.

SPY files identify lexical errors.

LEX files contain statistic information about UPOV data.

If you enter the LEX file you will get an overview of your data. This is very helpful and allows you to immediately see certain errors. For example, if the total number of breeders' references does not agree with the total number of varieties or if the number of approved denominations is less than the number of grant dates, etc.

[End of document]